DIASTEREOSELECTIVITY IN THE TiCI,-MEDIATED ALDOL REACTION OF CYCLIC DIENYLSILYL ETHERS

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Abstract: Cyclic dienylsilyl ethers derived from α , β -unsaturated ketones undergo TiCl_a-catalyzed crossed aldol reactions with aldehydes, giving β '-hydroxy enone systems with good diastereoselectivity in most cases. The direction of stereocontrol (syn versus *anti*) in these reactions is a function of the steric demand of the aldehydic partner, favoring syn selectivity with sterically encumbered aldehydes.

Introduction

The aIdol addition reaction has been recognized as one of the most efficient methods for stereoselective carbon-carbon bond formation in the construction of B-hydroxyketones with defined vicinal centers of asymmetry, The practicability of this process has been amplified in recent years as a result of numerous studies aimed at discerning correlations of enolate geometry, steric components and transition state structure, and the role of the enolate counterion with the stereochemical outcome of the reaction. Such conceptual advances have provided insight for the development of predictive models for stereoselection in many cases, and have been the subject of extensive review.¹ Modified aldol approaches to β -hydroxyketones have additionally played important roles in the development of transition state models, particularly in the area of enolsilanes. The seminal work of Mukaiyama² in the TiCl₄-catalyzed aldolization of ketone enolsilanes has shown that varying degrees of anti(threo)-selectivity generally predominate for E-enolsilanes, however many cases have been shown to be stereorandom. It is interesting to note that while numerous studies of this reaction have been performed on simple enolsilanes, the corresponding dienolsilanes, derived from α , β -unsaturated ketones, have not been systematically explored for their potential to stereoselectively produce β '-hydroxyenone systems.³ To our knowledge, a singular report describing *anti* selectivity in the TiCl₄-mediated aldol reaction of a ketone dienylsilyl ether (of cyclopentenone) has recently appeared.4

Results and Discussion

In conjunction with an ongoing program directed toward synthetic approaches to sesquiterpenoid natural products, we required a substrate of general structure 3. Initially, there was uncertainty as to what relative configuration at the secondary hydroxyl was needed for our purposes, and we therefore sought the preparation of 3 using an aldol strategy in anticipation of obtaining sufficient quantities of both diastereomers. Preliminary studies using direct coupling of the kinetic lithium enolate of (R)-(+)-pulegone **(1)** with 3-iodo-2,2-dimethylpropana15 failed to produce the desired aldols. Although kinetic lithium enolates of α , β -unsaturated ketones readily alkylate, it has been observed that the corresponding aldol reactions can be problematical.⁶ However, the Mukaiyama TiCl₄-catalyzed process as applied to the dienylsilyl ether of pulegone (2) and the iodopropanal gave good yields of 3 with good diastereoselection (eq 1 and Table 1).

Significantly, only two of a possible four diastereomers were formed in this reaction, with the major product having the syn configuration. In light of overwhelming literature precedence for *anti* selectivity in $TiCl₄$ -catalyzed aldols of E-enolsilanes, we undertook an investigation to ascertain the generality of syn selectivity in the TiCl₄-mediated aldol reaction of dienolsilanes.

Our initial course of study focused upon the derived dienylsilyl ethers of cyclic six-membered α , β -unsaturated ketones, thus guaranteeing the geometric homogeneity of the E-silyl enol ethers. Depicted in Table 1 are the results obtained in the TiCl₄-catalyzed reaction of four dienolsilanes with 3-iodo-2,2-dimethylpropanal. In each case, reaction occurred at -78 $^{\circ}$ C within 2-3 hours in CH₂Cl₂ to provide good yields of aldol products. Consistent degrees of diastereoselectivity were obtained favoring the syn adduct over the *anti* product by approximately 4:1.⁷ The use of etherial solvents or TiCl($OⁱPr$)₃ as catalyst failed to give acceptable yields. Verification of syn selectivity in these examples was provided by X-ray crystallographic analysis of derivatives of 3a and 9a, and subsequent 1 H-NMR correlations (Table 3). The major aldol product 3a of the pulegone silylenol ether reaction was transformed into a single crystalline keto-diol 10^8 utilizing the Molander carbocyclization procedure with SmI₂⁹ (eq. 2). Figure 1 displays the ORTEP plot of 10, clearly indicating syn selectivity in the initial aldol process. Unambiguous stereochemical

Entry	Siloxydieneb	Products syn	anti	Rațio ^c syn/anti	%Yield ^d
$\mathbf{1}$	OTMS (ca. 80) og, $\bf{2}$	$\begin{array}{cc}\n\P & \mathbf{H} & \mathbf{Q}\mathbf{H} \\ \P & \mathbf{F} & \mathbf{I}\n\end{array}$ ပူ ore. 3a	$\frac{1}{2}$ $\frac{1}{2}$ r _{es} 3 _b	80:20	72
$\boldsymbol{2}$	OTMS (94) '''' 4	ဂူ ŌH $\frac{\mathbf{H}}{\overline{\mathbf{v}}}$ مسيهات 5a	ួ òн Ä مسيه 5 _b	83:17	76
3 ^e	OTMS (87) 6	OH о Å $\mathbf{u}_{\mathbf{q}}$ 7a	òн ħ \mathbf{v}_ℓ ${\bf 7b}$	72:28	80
$\boldsymbol{4}^\text{e}$	OTMS (83) 8	OH о Ĥ 9a	OH о Ĥ 9 _b	78:22	$70\,$

Table 1. Aldol Reaction of Siloxydienes with 3-Iodo-2,2-dimethylpropanal.'

a. Vacuum distilled prior to use, see Ref. 5. b. Prepared from the corresponding enones in the yields shown in parentheses: i. LDA, THF, -78^oC; ii. TMSCl, -78^oC. Vacuum distilled prior to use. c. Ratios determined by ¹H-NMR (270 MHz) integration and HPLC analysis of the corresponding acetates. d. Purified yields following silica gel chromatography. e. Only one enantiomer depicted.

assignments of **9a,b** (Entry 4) were not possible by 'H-NMR analysis, and a crystalline derivative (pNO*-benzoate) of the major aldol product **9a** was prepared. X-ray crystallographic analysis of this derivative¹⁰ established syn selectivity in this example (Figure 2). That syn selectivity in Entry 4 is maintained indicates that the C-6 substituent of the dienylsilyl ether plays little or no role in directing the stemochemical outcome at the secondary hydroxyl.

Having established that syn selectivity predominates in these reactions with the iodopropanal, we sought to examine the scope of the syn-selective aldol as applied to various aldehydes possessing decreasing degrees of steric demand. These studies were initiated in anticipation of identifying the fundamental factors controlling stereoselectivity in this process. The dienylsilyl ether of pulegone, 2, was chosen as being representative, and Table 2 lists the results obtained upon its reaction with various aldehydes under the Mukaiyama conditions. Again, good yields of aldol products were obtained, however varying degrees of stereoselectivity were observed as a function of the steric demand imparted by the aldehydic partner. With large 'R' groups of the aldehyde (Entries 1 and 2), syn selectivity predominated in accord with the results in Table 1. With decreasing size of 'R', *synlanti* crossover occurred to give good anti selectivity with smaller aldehydes (Entries 5 and 6). The pivital point in the $syn \rightarrow anti$ crossover was apparent between

OTMS $\mathbf{u}_{\mathbf{u}_i}$ $\boldsymbol{2}$	Ω $\ddot{}$ н-	TiCl ₄ CH_2Cl_2 -78 °C	OH Å SALE syn	÷ 3	$\begin{smallmatrix} 4 & \frac{11}{7} & \frac{11}{7} \\ 1 & 1 & 1 \end{smallmatrix}$ ۰R $\mathbf{u}_{\mathbf{q}}$ anti
Entry	Aldehyde ^a		Ratio ^b syn/anti	%Yield ^c	
$\mathbf{1}$	$R = -C(CH_3)_2CH_2I$	3a:b	80:20	72	
$\boldsymbol{2}$	$R = -C(CH_3)_3$	3c:d	81:19	75	
3	$R = -CH(CH3)2$	3e:f	56:44	70	
4	$R = -CH_2CH_3$	$3g$:h	40:60	71	
5	$R = -CH3$	3i:j	26:74	80	
6	$R = -Ph$	3k:1	30:70	63	

Table 2. TiCl₄-Mediated Aldol Reactions of the Dienylsilyl Ether of (R)-(+)-Pulegone.

a. Distilled prior to use except for acetaldehyde. b. Ratios were determined by ¹H-NMR (270 MHz) integration and/or HPLC analysis of the corresponding acetates. c. Purified yields following silica gel chromatography.

isobutyraldehyde and propanal, which were both stereorandom. All stereochemical assignments were ascertained by examination of extensive single frequency decoupled 1 H-NMR spectra (Table 3).

Assessment of the results in this investigation lead to the conclusion that steric demand of the aldehyde plays a critical role in determining the direction of stereoselectivity in the TiCl₄-catalyzed aldol reaction of dienylsilyl ethers. Our interpretation of the stereochemical outcome of this process favors the "closed" or chelated transition state model as first proposed by Zimmerman and Traxler,¹¹ and later refined by Evans.¹² With smaller 'R' groups present in the aldehyde portion, the transition state structure can adequately be represented as a chair form, with the 'R' group occupying an equatorial position (Figure 3). This mode of

Large 'R' of Aldehyde

Figure 3

a. Coupling constants determined by extensive homonuclear **'H-NMR** decoupling.

addition would result in the formation of the **anti** product, as is typically observed with E-enolsilanes. With increasing size of 'R', as in 3-iodo-2,2dimethylptopanal and pivaldehyde, steric interaction of 'R' with the C-6 ring position of the relatively planar dienylsilyl ether is postulated to result in a preference for a boat (or twist-boat) transition state, leading to the observed syn product. In this mode it is speculated that the eclipsing of the large 'R' group with the C-6 ring position is more favorable than the buttressing interaction of 'R' with ligands about titanium. One cannot, however. rule out the option of an "open" transition state leading to the *syn* product.

In summary, TiCl₄-catalyzed aldol reactions of dienylsilyl ethers occur readily to give good yields of p'-hydroxy enone systems with consistently good degrees of stemoselectivity with aldehydes possessing either large or small degrees of steric demand. Our studies have additionally shown that the direction of stereocontrol is a function of the steric demand of the reacting aldehyde. Further progress in this area will be reported in due course.

Experimental Section

General Procedures. 'H-NMR spectra were recorded on a JEOL PX-270 spectrometer at 269.7 MHz. All spectra were taken in CDCl₃ and chemical shifts are reported in δ (in parts per million) downfield from internal standard, tetramethylsilane. ¹³C-NMR spectra were recorded on the same instrument at 67.8 MHz. All spectra were measured in CDCl₃ and chemical shifts are reported in δ (in parts per million) using the residual solvent triplet as internal reference (8 77.00). Infrared spectra were recorded on a Mattson Cygnus 100 PI-IR spectrometer. High resolution mass spectra were obtained on a VG ZAB 1F mass spectrometer at 70 eV (RI). Thin layer chromatography was performed on Merck silica gel F-254 analytical plates, and flash chromatography was accomplished as described by Still¹³ using Merck silica gel 60 (230-400 mesh). HPLC was performed on a Hewlett Packard 1090 L liquid chromatograph using a 4x25 mm, 5-um Zorbax Sil (DuPont) column with 510% ethyl acetate in hexanes. Crystal structures were obtained on a Nicolet R3mN automated X-ray diffractometer at -13O'C.

Materials. Tetrahydrofuran (Burdick and Jackson, HPLC grade) and diethyl ether (J.T. Baker) were freshly distilled from sodium benzophenone ketyl under argon. Methylene chloride and ethyl acetate (J.T. Baker) were distilled from CaH₂. All liquid reagents were dried (CaH₂) and distilled under an argon atmosphere prior to use, with the exception of titanium tetrachloride (Aldrich) which was used as received. $Sm1₂¹⁴$ and 3-iodo-2,2-dimethylpropanal⁵ were prepared according to literature procedures. All TiCl₄ reactions and all LDA deprotonations were carried out under a positive pressure of argon in glassware flame-dried under a stream of argon.

General Procedure for the Preparation of Dienolsilanes.

To a flame-dried 250 mL round-bottom flask fitted with a magnetic stirring bar, rubber septum, and connected to an argon source were added dry tetrahydrofuran (100 mL) and freshly distilled diisopropylamine (5.67 mL, 43.8 mrnol). The flask and contents were cooled to -78'C in a dry ice-acetone bath and over a period of 5 min n-BuLi (24.7 mL, 39.4 mmol/hexane) was added by syringe with continuous stirring. After 20 min, freshly distilled R-(+)-pulegone (Aldrich) (5.0 g, 32.8 mmol) was added neat over 5 min. The bright yellow solution was stirred at -78°C for 30 min, then freshly distilled chlorotrimethylsilane (7.91 ml, 62.4 mmol) was added **rapidly by a syringe. The** resulting slurry was stirred for an additional 2 hr at -78'C. **The reaction**

mixture was quenched with brine (20 mL) at -78'C and then extracted with n-pentane (3x150 mL). The combined organic extract was washed with brine (3x20 mL) and dried over anhydrous Na₂SO₄. Filtration and concentration *in vucuo* gave the crude oil which was distilled (Kugelrohr) at reduced pressure, providing 6.78 g (92%) of dienylsilyl ether 2, bp 89° C (2 mm Hg) as a colorless oil. The material so obtained was contaminated with ca. 10-15% of the dienylsilyl ether derived from LDA deprotonation at the terminus (-CH_3) of the enone system, as evidenced by the appearance of a doublet at δ 4.85 (=CH₂) and a singlet at δ 1.90 (vinyl methyl) in the ¹H-NMR spectrum. Further purification was not attempted, and this material was used in subsequent reactions. The analogous contaminants were not observed in the other three dienylsilyl ethers. IR (film) 3050-2850, 1660, 1635, 1255, 1180 cm⁻¹. ¹H-NMR δ 4.78 (d, 1H, J = 2.6 Hz), 2.48 (m, 1H), 2.32 (m, 1H), 2.1 (m, lH), 1.98 (s, 3H), 1.71 (s, 3H), 1.15 (m, lH), 0.97 (d, 3H, J = 7.3 Hz), 0.18 (s, 9H).

Dienylsilyl ether of R-(-)-carvone (4). IR (film) 3100-2820, 1662, 1655 (sh), 1646 (sh), 1595, 1250, 1208, 1180 cm-'. 'H-NMR 6 5.57 (brS, lH), 4.79 (s, 2H), 4.73 (s, lH), 3.03 (m, lH), 2.15 (m, 2H), 1.74 (s, 3H). 1.71 (s, 3H), 0.22 (s, 9H).

Dienylsilyl ether of 3,5-dimethyl-2-cyclohexenone (6). IR (film) 3070-2810, 1661, 1609, 1224, 1120 cm-'. 'H-NMR 6 **5.42 (s,** lH), 4.62 (brS, lH), 2.49 (m, lH), 2.10 (dd, lH, J = 16.6, 8.2 Hz), 1.84-1.73 (m, lH), 1.77 (s, 3H), 0.98 (d, 3H, J = 7.0 Hz), 0.18 (s, 9H).

Dienylsilyl ether of 3-methyl-2-cyclohexenone (8). 'H-NMR 6 5.44 (s, lH), 4.72 (brS, lH), 2.18 (m, 2H), 2.02 (m, 2H), 1.78 (s, 3H), 0.18 (s, 9H).

General Procedure for the TiCl₄-catalyzed Preparation of Aldols.

To a flame-dried 250 mL round-bottom flask fitted with a magnetic stirring bar, rubber septum, and connected to an argon source were added dry methylene chloride (100 mL) and freshly prepared and distilled 3-iodo-2,2-dimethylpropanal (3.00 g, 14.2 mmol). The flask and contents were cooled to -78 $^{\circ}$ C in a dry ice-acetone bath and the dienylsilyl ether (2) of pulegone (4.29 g, 19.1 mmol) and titanium tetrachloride (2.79 mL, 25.4 mmol) in 5 mL dry methylene chloride were added separately by syringe with continuous stirring. After 2 hr at -78°C, the reaction mixture was quenched with saturated sodium bicarbonate solution (20 mL). The resulting solution was extracted with methylene chloride (3x100 mL), and the combined extract was dried over anhydrous sodium sulfate. Filtration and concentration in vacuo gave the crude product which was flash-cbromatographed on a small pad of silica gel (hexane/EtOAc 7:3) providing 3.72 g (72%) of a mixture of two aldol isomers, **3a** and **3b**. An aliquot of this mixture was derivatized *(vide infra)* as the trimethylsilyl ether (or acetates) for 'H-NMR and/or HPLC analysis of the mixture composition. The aldols were separated by careful flash chromatography (hexane/EtOAc 7:3) or by preparative TLC (3% EtOAc/hexane) to provide pure samples.

General Procedure for the Preparation of Trimethylsilyl Ethers of Aldol Products

To a 0°C solution of the isomeric aldol mixture (1.0 mmol) in methylene chloride (10 mL) under argon was added dried (CaH₂) and distilled triethylamine (1.2 mmol) and 4-N,N-dimethylaminopyridine (0.1 mmol), followed by dried (CaH₂) and distilled chlorotrimethylsilane (2.0 mmol) with continuous stirring, After 3 hr at 0° C, the reaction mixture was poured into cold water (3 mL) and extracted with methylene chloride (3x5 mL). The combined extract was washed once with water (3 mL) and then with brine (3 mL), and was dried over anhydrous sodium sulfate. Filtration and concentration afforded the crude product, which was flash-filtered through a small bed of silica gel (hexane/EtOAc 95:5) giving trimethylsilyl ethers suitable for 'H-NMR analysis.

General Procedure for the Preparation of Acetates of Aldol Products

To a 0^oC solution of the isomeric aldol mixture (1.0 mmol) in methylene chloride (10 mL) under argon was added dried (CaH₂) and distilled triethylamine (1.5 mmol) and 4-N,N-dimethylaminopyridine (0.1 mmol), followed by acetic anhydride **(2.5** mmol) with continuous stirring. After 3 hr at O°C, the reaction mixture was warmed to ambient temperature (22°C) and stirred for 12 hr. The reaction mixture was diluted with methylene **~hhide (30 UYL)** and washed with 10% HCl (10 mL) followed by saturated sodium bicarbonate solution (10 mL) and fmally water (10 mL). The organic layer was dried over anhydrous sodium sulfate, filtered, and concentrated in vacuo, giving the crude acetates. Following flash-filtration through a small pad of silica gel (hexane/EtOAc 4:1), the isomeric composition of the mixture was determined by 1 H-NMR and/or HPLC analysis.

Syn Aldol 3a. IR (film) 3475, 1669, 1614 cm⁻¹. ¹H-NMR δ 4.10 (dd, 1H, J = 5.1, 6.6 Hz), 3.50 (d, 1H, $J = 10.3$ Hz), 3.15 (d, 1H, $J = 10.3$ Hz), 2.6 (m, 1H), 2.45 (d, 1H, $J = 6.6$ Hz), 2.4 (m, 1H), 2.30 (dd, 1H, $J = 5.1$, 5.1), 1.96 (s, 3H), 1.78 (s, 3H), 1.9 (m, lH), 1.4 (m, lH), 1.11 (d, 3H, J = 7.3 Hz), 1.06 (s, 3H), 0.99 (s, 3H). 13C-NMR 6 207.4, 142.9, 132.1,74.4,58.8,38.9,30.9,29.4,26.6,25.5,23.6,22.7,22.1,21.8, 21.0.

Trimethylsilyl Ether of 3a. IR (film) 1688, 1613, 1210 cm⁻¹. ¹H-NMR δ 4.49 (d, 1H, J = 3.7 Hz), 3.26 (d, lH, J = 10.3 Hz), 3.19 (d, lH, J = 9.5 Hz), 2.6 (m, lH), 2.3 (m, lH), 2.14 (m, lH), 2.12 (d, lH, J = 6.6 Hz), 1.87 (s, 3H), 1.7 (m, lH), 1.73 (s, 3H), 1.5 (m, lH), 1.10 (d, 3H, J = 6.6 Hz), 0.94 (s, 6H), 0.10 (s, 9H).

Anti Aldol 3b. 'H-NMR 6 4.64 (d, lH, J = 10.3 Hz), 3.64 (d, lH, J = 10.3 Hz), 3.48 (d, 1H. J = 9.5 Hz), 3.15 (d, 1H, J = 9.5 Hz), 2.6 (m, 1H), 2.4 (m, 1H), 2.3 (m, 1H), 2.16 (d, 1H, J = 8.8 Hz), 1.86 (s, 3H), 1.73 $(s, 3H)$, 1.8 (m, 1H), 1.5 (m, 1H), 1.11 (d, 3H, J = 6.6 Hz), 1.01 (s, 3H), 0.96 (s, 3H). ¹³C-NMR δ 210.3, 139.3, 134.9,54.9,39.4,36.0,31.3,27.2,26.0,23.6,23.0,21.6,21.1,20.5.

Trimethylsilyl Ether of 3b. 'H-NMR 6 4.35 (d, lH, J = 8.8 Hz), 3.33 (d, lH, J = 9.5 Hz), 3.24 (d, lH, J = 9.5 Hz), 2.7 (m, lH), 2.4 (m, lH), 2.3 (m, lH), 1.93 (s, 3H), 1.7 (m, lH), 1.68 (s, 3H), 1.2 (m, lH), 1.08 (d, 3H, J = 6.6 Hz), 0.98 (s, 3H), 0.87 (s, 3H), 0.21 (s, 9H).

Syn Aldol 5a. 'H-NMR 6 6.60 (m, lH), 4.81 (s, lH), 4.68 (s, lH), 3.82 (dd, lH, J = 7.3, 7.3 Hz), 3.45 $(d, 1H, J = 9.5 Hz)$, 3.15 (m, 1H), 3.08 (d, 1H, J = 9.5 Hz), 2.72 (m, 1H), 2.4-2.3 (m, 1H), 2.72 (dd, 1H, J = 7.3, 2.9 Hz), 2.21 (d, lH, J = 7.3 Hz), 1.75 (s, 3H), 1.73 (s, 3H), 1.10 (s, 3H), 0.96 (s, 3H).

Trimethylsilyl Ether of 5a. IR (film) 1700, 1662, 1569, 1251 cm⁻¹. ¹H-NMR δ 6.6 (m, 1H), 4.77 (s, lH), 4.62 (s, lH), 4.03 (d, lH, J = 4.4 Hz), 3.27 (d, lH, J = 9.9 Hz), 3.20 (d, lH, J = 9.5 Hz), 3.08 (m, lH), 2.9-2.7 (m, lH), 2.64 (dd, lH, J = 4.4, 1.5 Hz), 2.4-2.2 (m, lH), 1.77 (s, 3H), 1.70 (s, 3H), 1.07 (s, 3H), 1.05 (s, 3H). MS (70 eV), m/z (rel intensity) 434 (2.4), 306 (8), 285 (31), 252 (25), 251 (lOO), 222 (15), 207 (32), 181 (12), 169 (36), 165 (ll), 162 (ll), 161 (loo), 143 (30), 135 (20), 133 (17), 105 (14), 91 (27). Exact mass calcd for $C_{18}H_{31}IO_2Si: 434.1137.$ Found: 434.1125.

Acetate of Sa. 'H-NMR 6 6.5 (m, lH), 5.23 (d, lH, J = 3.7 Hz), 4.79 (s, lH), 4.66 (s, lH), 3.30 (d, lH, $J = 10.2$ Hz), 3.15 (d, 1H, $J = 10.2$ Hz), 2.9 (m, 1H), 2.85 (m, 1H), 2.7 (m, 1H), 2.4-2.3 (m, 1H), 1.92 (s, 3H), 1.71 (s, 6H), 1.07 (s, 6H).

Anti Aldol Sb. 'H-NMR 6 6.65 (m, lH), 4.97 (s, lH), 4.94 (s, lH), 3.76 (d, lH, J = 9.2 Hz), 3.52 (d, lH, J = 9.5 Hz), 3.42 (d, lH, J = 9.2 Hz), 3.17 (d, lH, J = 9.5 Hz), 2.9 (m, lH), 2.62 (d, lH, J = 11.0 Hz), 2.5-2.4 (m, 2H), 1.75 (s, 3H), 1.74 (s, 3H), 1.08 (s, 3H), 1.00 (s, 3H).

Syn Aldol7a. 'H-NMR 6 5.85 (s, lH), 3.80 (dd, lH, J = 7.0,7.0 Hz), 3.45 (d, lH, J = 9.5 Hz), 3.09 (d, lH, J = 9.5 Hz), 2.75 (m, lH), 2.7 (m, lH), 2.28 (dd, lH, J = 7.0, 2.9 Hz), 2.20 (d, lH, J = 7.0 Hz), 1.94 (s, 3H), 1.15 (m, 1H), 1.10 (s, 3H), 1.03 (d, 3H, $J = 7.3$ Hz), 0.99 (s, 3H).

Trimethylsilyl Ether of 7a. IR (film) 1659, 1252, 1110 cm-'. 'H-NMR 6 5.86 (s, lH), 4.26 (d, lH, J = **2.3 Hz), 3.24** (d, lH, J = 9.9 Hz), 3.19 (d, lH, J = 9.9 Hz), 2.54 (dd, lH, J = 4.6, 17.8 Hz), 2.35 (m, lH), 2.07 $(dd, 1H, J = 2.7, 5.6 Hz)$, 1.88 (s, 3H), 1.2 (m, 1H), 1.08 (d, 3H, J = 6.6 Hz), 0.99 (s, 6H), 0.08 (s, 9H).

Acetate of 7a. IR (film) 1738, 1656, 1385, 1245 cm⁻¹. ¹H-NMR δ 5.89 (s, 1H), 5.28 (d, 1H, J = 8.1 Hz), 3.27 (d, lH, J = 10.2 Hz), 3.21 (d, lH, J = 10.2 Hz), 2.72 (m, lH), 2.36 (dd, lH, J = 8.1,4.0 Hz), 2.15 (m, lH), 2.06 (s, 3H), 1.90 (s, 3H), 1.3 (m, lH), 1.07 (s, 3H). 1.06 (d, 3H, J = 7.3 Hz), 1.02 (s, 3H). MS (70 eV), m/z (rel intensity) 378 (0.2), 335 (35), 195 (20), 192 (15), 191 (lOO), 167 (23). 154 (ll), 153 (89), 150 (12). 149 (50), 135 (17), 129 (17), 124 (16), 123 (35), 109 (28), 107 (15). Exact mass calcd for $C_1S_1H_{23}IO_3$: 378.0691. Found: 378.0688.

Anti Aldol7b. 'H-NMR 6 5.85 (s, lH), 3.84 (d, lH, J = 11.0 Hz), 3.53 (d, lH, J = 9.5 Hz), 3.51 (d, lH, $J = 11.0$ Hz), 3.12 (d, 1H, $J = 9.5$ Hz), 2.49 (dd, 1H, $J = 18.3$, 4.4 Hz), 2.3 (m, 1H), 2.22 (d, 1H, $J = 9.5$ Hz), 2.2-2.1 (m, lH), 1.95 (s, 3H), 1.13 (d, 3H, J = 6.6 Hz), 1.08 (s, 3H), 1.01 (s, 3H).

Syn Aldol9a. IR (film) 3457, 1698 (sh), 1662, 1382, 1211 cm-'. 'H-NMR 6 5.91 (s, lH), 4.50 (dd, lH, J = 5.1,2.2 Hz), 3.43 (d, lH, J = 9.5 Hz), 3.22 (d, lH, J = 9.5 Hz), 2.43 (ddd, lH, J = 2.2, 12.5,5.1 Hz), 2.3 (m, 2H), 2.2-2.0 (m, 2H), 1.98 (d, lH, J = 5.1 Hz), 1.95 (s, 3H), 1.12 (s, 3H), 1.03 (s, 3H).

Acetate of 9a. IR (film) 1754, 1668, 1370, 1240, 1130, 1000 cm-l. 'H-NMR 6 5.92 (s, lH), 5.50 (d, lH, J = 3.7 Hz), 3.28 (d, lH, J = 8.8 Hz), 3.21 (d, lH, J = 8.8 Hz), 2.7 (m, lH), 2.4-2.3 (m, 2H), 2.04 (s, 3H), 1.95 (m, lH), 1.92 (s, 3H), 1.66 (m, lH), 1.05 (s, 3H), 1.03 (s, 3H).

para-Nitrobenzoate of 9a (11). Syn aldol9a was derivatized as the corresponding para-nitrobenzoate in 90% yield according to the general procedure for acetate formation, utilizing para-nitrobenzoyl chloride in place of acetic anhydride. This material was recrystallized from 10% ethyl acetate in hexane, giving colorless needles, mp 142-142°C, used for X-ray crystallographic analysis. IR (solid film) 1725, 1670, 1526, 1274 cm⁻¹. ¹H-NMR δ 8.31 (d, 2H, J = 8.8 Hz), 8.18 (d, 2H, J = 8.8 Hz), 5.98 (s, 1H), 5.89 (d, 1H, J = 2.9 Hz), 3.34 (d, 1H, J = 9.3 Hz), 3.27 (d, lH, J = 9.3 Hz), 2.52 (m, lH), 2.4 (m, 2H), 2.12 (m, lH), 1.94 (s, 3H), 1.72 (m, lH), 1.20 (s, 3H), 1.15 (s, 3H).

Anti Aldol 9b. ¹H-NMR δ 6.76 (s, 1H), 4.22 (dd, 1H, J = 4.8, 2.2 Hz), 3.44 (d, 1H, J = 9.5 Hz), 3.18 (d, lH, J = 9.5 Hz), 2.41 (d, lH, J = 4.8 Hz), 2.3-2.2 (m, 2H), 2.2-2.0 (m. 2H), 1.90 (s, 3H), 1.7 (m, lH), 1.09 (s, 3H), 0.99 (s, 3H).

Syn Aldol3c. IR (film) 3468, 1673, 1641, 1615, 1366,1288 cm-l. 'H-NMR 6 3.79 (dd, lH, J = 6.6, 4.8 Hz), 2.6-2.5 (m, 2H), 2.4 (m, lH), 2.31 (dd, lH, J = 4.8, 4.8 Hz), 2.18 (d, 1H. J = 6.6 Hz), 1.94 (s, 3H), 1.9-1.8 (m, lH), 1.77 (s, 3H), 1.4 (m, lH), 1.10 (d, 3H, J = 7.0 Hz), 0.93 (s, 9H). MS (70 eV). m/z (rel intensity), 238 (6), 220 (6), 205 (14), 181 (45), 163 (20), 153 (22), 152 (78), 138 (14), 137 (lOO), 135 (33), 123 (14), 121 (12), 109 (50), 107 (15), 97 (17), 95 (30), 93 (22), 91 (14). Exact mass calcd for $C_{15}H_{26}O_2$: 238.1933. Found: 238.1967.

Acetate of 3c. IR (film) 1738, 1665, 1372, 1240 cm⁻¹. ¹H-NMR δ 5.17 (d, 1H, J = 5.9 Hz), 2.5-2.4 (m, 2H), 2.33 (dd, lH, J = 5.9, 4.4 Hz), 2.1-2.0 (m, lH), 2.03 (s, 3H), 1.90 (s, 3H), 1.8-1.7 (m, lH), 1.72 (s, 3H), 1.4-1.3 (m, 1H), 1.05 (d, 3H, J = 7.3 Hz), 0.88 (s, 9H).

Anti Aldol 3d. 'H-NMR 6 4.44 (d, lH, J = 10.6 Hz, -OH), 3.34 (d, lH, J = 10.6 Hz), 2.6 (m, lH), 2.4-2.2 (m, 2H), 2.20 (d, 1H, J = 8.8 Hz), 1.9-1.8 (m, 1H), 1.88 (s, 3H), 1.74 (s, 3H), 1.5 (m, 1H), 1.3 (m, 1H), 1.09 (d, 3H, $J = 6.2$ Hz), 0.91 (s, 9H).

Syn Aldol 3e. ¹H-NMR δ 3.72 (m, 1H), 2.81 (d, 1H, J = 7.0 Hz, -OH), 2.5 (m, 2H), 2.29 (dd, 1H, J = 7.0, 5.1 Hz), 2.2-2.1 (m, 1H), 1.96 (s, 3H), 1.9-1.6 (m, 2H), 1.77 (s, 3H), 1.4 (m, 1H), 1.06 (d, 3H, J = 6.6 Hz), **0.93** (d, 3H, J = 7.0 Hz), 0.89 (d, 3H, J = 6.6 Hz).

Acetate of 3e. IR (film) 1740, 1678, 1618, 1372, 1239 cm⁻¹. ¹H-NMR 8 4.93 (dd, 1H, J = 7.7, 4.2 Hz), 2.5-2.4 (m, 2H), 2.3 (m, 1H), 2.28 (dd, 1H, J = 7.7, 4.2 Hz), 1.95 (s, 3H), 1.90 (s, 3H), 1.8-1.6 (m, 2H), 1.73 (s, 3H), I.03 (d, 3H, J = 6.5 Hz), 0.95 (d, 3H, J = 7.0 HZ), 0.84 (d, 3H, J = 7.0 HZ). MS (70 eV), m/z (~1 intensity) 266 (0.4), 207 (20), 206 (95), 192 (20), 191 (100), 181 (15), 163 (39), 152 (45), 151 (16), 150 (13), 149 (18), 138 (34). 137 (87). 135 (25), 129 (28), **123 (22), 122 (29).** 121 (17). 118 (14), 110 (11). 109 (80), 107 (35), lo5 (11), 97 (12), 95 (21), 93 (22), 91 (20). Exact mass calcd for C₁₆H₂₆O₃: 266.1882. Found: 266.1896.

Anti AIdol 3f. 'H-NMR 6 3.3 (m, lH), 2.7-2.5 (m. 2H). 2.53 (d, lH, J = 9.5 HZ, **-OH),** 2.4 (m, H-I), 2.2-2.1 (m, 1H), 2.20 (dd, 1H, J = 8.1, 4.0 Hz), 2.0 (m, 1H), 1.89 (s, 3H), 1.8 (m, 1H), 1.74 (s, 3H), 1.06 (d, 3H, $J = 6.6$ Hz), 0.97 (d, 3H, $J = 6.6$ Hz), 0.89 (d, 3H, $J = 6.6$ Hz).

Acetate of 3f. 'H-NMR 8 5.10 (dd, lH, J = 8.1.4.8 Hz), 2.6-2.3 (m, 3H), 2.43 (dd, lH, J = 8.1.4.0 HZ), 2.04 (s, 3H), 1.89 (s, 3H), 1.76 (s, 3H), 1.7-1.6 (m, 1H), 1.4-1.2 (m, 2H), 1.03 (d, 3H, J = 6.5 Hz), 0.92 (d, 3H, J $= 7.0$ Hz), 0.87 (d, 3H, J = 7.0 Hz).

S~II **Aldol3g. IR (film) 3472,1672,1616, 1376,1289** cm -l. 'H-NMR 6 **3.67** (m, lH), 3.41 (d, lH, J = 9.5 Hz, \cdot OH), 2.6-2.5 (m, 1H), 2.4 (m, 1H), 2.26 (dd, 1H, J = 9.5, 4.0 Hz), 2.01 (s, 3H), 1.9-1.7 (m, 2H), 1.79 $(s, 3H), 1.45$ (m, 1H), $1.4-1.2$ (m, 2H), 1.04 (d, $3H, J = 6.5$ Hz), 0.99 (t, $3H, J = 7.3$ Hz).

Acetate of 3g. IR (film) 1739, 1679, 1617, 1372, 1234 cm-'. 'H-NMR 6 5.12 (m, lH), 2.4 (m, 2H), 2.31 (dd, 1H, J = 7.0, 4.8 Hz), 2.02 (s, 3H), 1.87 (s, 3H), 1.8-1.6 (m, 2H), 1.73 (s, 3H), 1.5 (m, 1H), 1.4-1.2 (m, 2H), 1.03 (d, 3H. J = 7.0 Hz), 0.85 (d, 3H, J = 7.3 Hz).

Anti Aldol3h. 'H-NMR 6 **3.60** (m, lH), 2.6 (m. lH), 2.58 (d, lH, J = 8.4 Hz, -OH), 2.4-2.3 (m, 1H). **2.1 (m,** IH), **2.04 (dd,** lH, J = 8.1.3.3 Hz), 1.90 (s, 3H), 1.8 (m, lH), 1.75 (s, 3H), 1.7-1.5 (m, 2H), 1.4 (m. lH), 1.08 (d, 3H, $J = 6.6$ Hz), 0.98 (t, 3H, $J = 7.5$ Hz).

Acetate of 3h. ¹H-NMR δ 5.12 (m, 1H), 2.4 (m, 2H), 2.21 (dd, 1H, J = 7.7, 4.8 Hz), 1.95 (s, 3H), 1.87 (s, 3H), 1.8-1.6 (m, 2H), 1.71 (s, 3H). 1.5 (m, lH), 1.4-1.2 (m, 2H). 1.03 (d, 3H, J = 6.5 Hz), 0.87 (t, 3H, J = 7.3 Hz).

Syn Aldol 3i. IR (film) 3450, 1673, 1616, 1376, 1291 cm⁻¹. ¹H-NMR δ 3.93 (m, 1H), 3.85 (d, 1H, J = 9.9 Hz, -OH), 2.6 (m, lH), 2.4-2.3 (m, lH), 2.23 (dd, lH, J = 10.3, 4.0 Hz), 2.02 (s, 3H), 1.9-1.7 (m, W), 1.79 $(s, 3H)$, 1.3 (m, 1H), 1.16 (d, 3H, J = 6.2 Hz), 1.04 (d, 3H, J = 6.6 Hz).

Acetate of 3i. IR (film) 1740, 1683, 1618, 1373, 1242 cm⁻¹. ¹H-NMR δ 5.18 (m, 1H), 2.5-2.4 (m, 2H), 2.27 (dd, lH, J = 7.7, 5.1 Hz), 2.04 (s, 3H), 1.91 (s, 3H), 1.9-1.7 (m, 2H), 1.76 (s, 3H), 1.35 (m, lH), 1.18 (d, $3H, J = 6.2$ Hz), 1.07 (d, 3H, $J = 7.0$ Hz).

Anti Aldol 3j. 'H-NMR 6 3.92 (m, lH), 2.82 (d, lH, J = 7.3 Hz, -OH), 2.6 (m, lH), 2.4 (m, 1H). 1.97 (dd, lH, J = 7.7,4.9 Hz), 1.92 (s, 3H). 1.8-1.6 (m, 2H), 1.75 (s, 3H), 1.4 (m, lH), 1.32 (d, 3H, J = 6.2 Hz), 1.10 $(d, 3H, J = 6.6 Hz).$

Acetate of 3j. 'H-NMR 6 5.22 (m, lH), 2.5-2.4 (m. 2H), 2.22 (dd. lH, J = 7.6, 5.9 Hz), 1.97 (s, 3H), 1.88 (s, 3H), 1.8-1.7 (m, 2H), 1.4-1.3 (m, lH), 1.29 (d, 3H, J = 6.2 Hz), 1.07 (d, 3H, J = 7.0 Hz). MS (70 eV), m/z (rel intensity) 238 (0.4), 179 (24), 178(100), 163 (78), 149 (19), 137 (29), 136 (24), 135 (39), 131 (12), 123 (22), 122 (49), 121 (47), 109 (40), 108 (18), 107 (57), 105 (ll), 97 (11). 96 (ll), 95 (32), 93 (28), 91 (18). Exact mass calcd for $C_{14}H_{22}O_3$: 238.1569. Found: 238.1548.

Syn **Aldol 3k.** 'H-NMR 6 7.4-7.2 (m, 5H), 5.08 (dd, lH, J = 7.0, 4.6 Hz), 4.28 (d, lH, J = 7.0 Hz, -OH), 2.5 (m, 2H), 2.48 (dd, lH, J = 8.4,4.6 Hz), 2.2 (m, lH), 1.99 (s, 3H), 1.8 (m. lH), 1.71 (s, 3H), 1.35 (m, 1H), 0.92 (d, $3H, J = 7.0$ Hz).

Acetate of 3k. 'H-NMR 6 7.4-7.2 (m, 5H), 6.13 (d, 1H. J = 7.3 Hz), 2.66 (dd, lH, J = 7.1, 4.8 Hz). 2.6-2.4 (m, 2H), 2.2 (m, lH), 2.05 (s, 3H), 2.0-1.9 (m. lH), 1.74 (s, 3H), 1.7 (m, lH), 1.63 (s, 3H), 1.4-1.3 (m, 1H), 0.87 (d, $3H, J = 7.0$ Hz).

Anti Aldol 31. 'H-NMR 6 7.4-7.2 (m, 5H), 4.77 (dd, lH, J = 7.7, 4.8 Hz), 3.51 (d, lH, J = 4.8 Hz, $-$ OH), 2.6-2.5 (m, 2H), 2.43 (dd, 1H, J = 7.7, 5.9 Hz), 1.94 (s, 3H), 1.9-1.7 (m, 2H), 1.79 (s, 3H), 1.4 (m, 1H), 0.77 (d, 3H, J = 6.6 Hz).

Acetate of 31. IR (film) 1744, 1688. 1628, 1496, 1372, 1231, 765, 700 cm-'. 'H-NMR 6 7.4-7.2 (m, 5H), 5.93 (d, lH, J = 10.3 Hz), 2.68 (dd, lH, J = 10.3, 3.7 Hz), 2.6 (m, U-I), 2.4 (m, lH), 1.97 (s, 3H), 1.9-1.7 (m, 2H), 1.86 (s, 3H), 1.77 (s, 3H), 1.4-1.3 (m, lH), 0.85 (d, 3H, J = 7.0 Hz). MS (70 eV), m/z (rel **intensity) 300 (0.3), 241(16), 240 (93), 239 (54). 238 (19), 225 (15), 198 (12), 197 (27), 191 (14), 178 (13), 169 (12), 156 (12), 155 (44), 152 (ll), 149 (12), 141 (15), 137 (24), 131 (23), 130 (ll), 129 (44), 128 (22), 122 (26), 121 (13), 119 (10), 118 (100), 115 (28), 109 (10), 107 (20), 105 (35), 93 (12), 91 (72). Exact mass calcd for C₁₉H₂₄O₃:** 300.1725. Found: 300.1696.

Preparation of Keto-diol 10. A flame-dried 100 mL **flask under argon was charged with 1.30 g (8.65** mmol) of samarium metal (-40 mesh) and dry tetrahydrofuran (17 mL). Diiodomethane (2.21 g, 8.24 mmol) was added dropwise by syringe, and the resulting green slurry was stirred at ambient temperature for 1 hr, producing a deep-blue solution (SmI₂). A solution of the trimethylsilyl ether of syn aldol 3a (1.80 g, 4.12) mmol) in tetrahydrofuran (16 mL) was added over a period of 5 min, and stirring was continued for 3 hr. The reaction was quenched with saturated ammonium chloride solution (20 mL) and the product extracted into diethylether (3x40 mL). The extract was washed with brine (20 mL) and dried over anhydrous sodium sulfate. Following filtration and concentration *in vacua, the* crude product was flash chromatographed on silica gel (hexane/EtOAc, 95:5) giving 1.23 g (96%) of cyclized material. IR (film) 3504, 1680, 1461, 1369, 1250, 1100 cm-'. 'H-NMR 6 4.23 **(s,** lH, -OH), 3.80 (s, lH), 2.6 (m, lH), 2.1-2.0 (m, lH), 1.94 (s, 3H), 1.9-1.7 (m, 2H), 1.64 (s, 3H), 1.6 (m, lH), 1.5 (m, 2H), 1.3 (m, lH), 1.10 (s, 3H), 1.06 (s, 3H), 0.98 (d, 3H, J = 6.6 Hz), 0.10 (s, 9H). ¹³C-NMR δ 132.0, 127.4, 84.9, 84.8, 66.4, 53.6, 41.1, 33.1, 32.1, 31.8, 27.3, 27.2, 22.9, 22.4, 20.6, 0.1.

A flame-dried 50 mL flask under argon was charged with the above cyclization product (200 mg, 0.64 mmol) and absolute methanol (20 mL), and was cooled to -78°C in a dry-ice acetone bath. Ozone was bubbled gently with the solution until a slightly blue color persisted (0.5 hr), and argon was passed into the solution to remove excess ozone. Dimethylsulfide (0.5 mL) was added, and the solution was stirred at -78°C for 20 min then warmed gradually to ambient temperature $(\sim 1 \text{ hr})$. The volume of the solution was reduced in *vacuo* and *the* residue was taken up in methylene chloride (60 mL). After washing with water (3 x 15 mL), the solution was dried over anhydrous sodium sulfate, filtered, and concentrated *in vacuo*. The residue was chromatographed on silica gel (hexane/EtOAc 95:5) giving 164 mg (90%) of the ketone. IR (film) 3483, 1706, 1465, 1366, 1250, 1120 cm-'. 'H-NMR 6 3.81 (s, lH, -OH), 3.73 (d, lH, J = 5.2 Hz), 2.5-2.4 (m, 2H), 2.15-2.0 $(m, 2H)$, 1.79 (d, 1H, J = 13.9 Hz), 1.75 $(m, 1H)$, 1.6 $(m, 1H)$, 1.55 (d, 1H, J = 13.9 Hz), 1.10 (s, 3H), 1.06 (s, 3H), 1.01 (d, 3H, J = 6.6 Hz), 0.99 (s, 9H). 13C-NMR 6 213.4, 85.8, 82.7, 65.0, 51.0, 42.4, 34.0, 31.1, 30.2, 29.5,24.0, 19.9,O.l.

To a 0°C solution of the above ketone (400 mg, 1.41 mmol) in dry tetrahydrofuran (8 mL) was added a

1.0 M THF-solution of tetrabutylammonium fluoride (1.71 mL, 1.71 mmol) with stirring. After 2 hr at 0° C, the reaction was concentrated in vacuo, and the residue was flash-chromatographed on silica gel (hexane/EtOAc 7:3). giving 262 mg (88%) of keto-diol 10. This material was recrystallized from hexane/EtGAc 14:5. giving colorless needles, mp 150-154°C, suitable for X-ray crystallographic analysis. IR (CHCl₃) 3500, 1705, 1222, 1208, 1042 em-l. 'H-NMR 8 3.97 (s, lH, -OH), 3.78 (d, 1H. J = 11.7 Hz), 2.78 (d, lH, J = 11.7 Hz, -OH), 2.6-2.4 (m, 2H), 2.04 (d, 1H, J = 13.9 Hz), 2.0-1.9 (m, 1H), 1.7 (m, 1H), 1.6-1.4 (m, 2H), 1.20 (s, 3H), 1.2 (m, HI), 1.19 (s, 3H), 1.08 (d, 3H, J = 6.6 Hz). 13C-NMR 8 212.9, 86.4, 85.2, 68.4, 52.5, 42.9, 36.2, 34.5, 32.5, 32.2,26.0, 19.2.

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